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Securing Industrial Internet of Things: A Multi-Factor Authentication Approach using PUFs and AI

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Abstract *- Ensuring secure and reliable authentication is a critical challenge in the Industrial Internet of Things (IIoT) due to the vulnerability of traditional authentication methods. This paper proposes a multi-factor authentication mechanism (MFA) that combines Physical Unclonable Functions (PUFs), HMAC-SHA-256 hashing, and Artificial Intelligence (AI) to address the shortcomings of existing protocols. PUFs exploit manufacturing variations to generate unique, unclonable identifiers for each IIoT device, eliminating the need to store cryptographic keys that can be extracted through physical attacks. The proposed approach consists of a registration phase where devices generate PUF responses linked to temporary identities, and an authentication phase with mutual verification using challenge-response pairs and XOR operations. This lightweight protocol maintains high security through resistance to various attacks like replay, man-in-themiddle, and impersonation, while ensuring efficiency suitable for resource-constrained IIoT environments. AI is integrated to optimize challenge-response pair selection, perform anomaly detection, and enable adaptive authentication, enhancing the robustness and scalability of the system against evolving cyber threats. The solution effectively secures IIoT device authentication while meeting the operational requirements of industrial applications.*

Keywords: Industrial Internet of Things, Multi-Factor Authentication, Physical Unclonable Functions, Hashing, Artificial Intelligence.

1 Introduction

The Industrial Internet of Things (IIoT) is revolutionizing industries by enabling advanced automation, enhanced connectivity, and real-time data exchange among devices. This technological advancement, however, brings forth significant security challenges, particularly in ensuring secure and reliable authentication of devices and users. Traditional authentication methods, such as password-based systems and key storage mechanisms are increasingly proving to be inadequate in the face of sophisticated cyber threats and physical tampering. These methods often rely on storing secret keys in non-volatile memory, making them vulnerable to extraction and cloning through physical attacks. Additionally, the computational overhead associated with traditional cryptographic methods poses a challenge for resource-constrained IIoT devices. Therefore, there is a pressing need for a secure, efficient, and scalable authentication mechanism that can address these vulnerabilities and protect IIoT environments from unauthorized access and cyber threats.

The current landscape of cybersecurity for IIoT faces significant challenges, particularly concerning authentication protocols. One prominent issue is the vulnerability of existing protocols that lack robust authentication properties, leaving them prone to attacks and message replay, consequently jeopardizing overall

security. Data transmitted through insecure channels in IIoT environments are exposed to security risks, leading to various malicious attacks on the devices.

Furthermore, widely employed authentication techniques in cloud computing environments, such as Single Sign-On (SSO) and Two-Factor Authentication (2FA), are increasingly vulnerable to sophisticated cyber-attacks. This vulnerability poses a considerable threat to Small and Medium Enterprises (SMEs), which often lack the budgetary and technical capabilities to implement advanced security measures. These enterprises require cost-effective and secure multi-factor authentication (MFA) frameworks tailored to their specific needs.

Additionally, traditional password-based authentication methods are proving insufficient for ensuring secure communication within IIoT environments. These methods are susceptible to various forms of attacks such as hacking and phishing. The critical issue at hand is the inadequacy of existing authentication mechanisms to safeguard IIoT devices against unauthorized access. There is an urgent need to develop a secure and efficient authentication protocol explicitly designed to withstand the diverse array of threats inherent in IIoT settings.

2 Related Works

In this paper by Xiao et al. (2023), a formal analysis of reliable MFA procedures for IIoT is explained. Furthermore, it also discusses the use of Logic of Events for formal analysis of security protocols, which focuses on MFA in IIoT. This paper highlights the weakness of protocols that fail to satisfy strong authentication properties, making them susceptible to attacks and message replay, thereby compromising security. To solve this problem, authentication properties are categorized, and the security of the MFA protocol is verified using the Logic of Events theory. Moreover, the authors explain the theoretical extension to the Logic of Events theory, to enable the formal analysis of authentication protocols. This approach shows a strong authentication property rooted in formal analysis and authentication using the Logic of Events theory (Xiao et al., 2023). However, the complexity in implementation and potential limitations in handling real-time scenarios represent the weaknesses. To evaluate the proposed solution, the authors conduct formal proofs of the improved authentication properties, analyse matching events, and verify protocol of the security requirements. This work contributes to security field by enhancing the ability to describe and analyse emerging protocols, which potentially influence the future research in IIoT industry.

Furthermore, paper by Zulkifli et al. (2023) address challenges encountered by Small and Medium Enterprises (SMEs) in adopting cloud computing, particularly concerning authentication security. SMEs often find existing authentication methods in cloud environments geared towards larger enterprises, lacking cost-effectiveness and security. The primary problem identified is the absence of a secure, yet affordable MFA framework tailored for SMEs. To address this, they propose an MFA framework incorporating various elements like Remote Desktop Authentication, Secure Socket Layer Virtual Private Network (SSL VPN), One-Time Password (OTP) via email, and Hypertext Transfer Protocol Secure (HTTPS) with SSL or Transport Layer Security (TLS). The technique offers enhanced security, cost-effectiveness through OTP email, and implementation flexibility (Muhammad Zulkifli et al., 2023). However, potential delays in receiving OTP emails and the need for SMEs' technical expertise pose weaknesses. Evaluation involves a literature review, expert consultations, and a proof of concept demonstrating OTP email authentication feasibility. Figure 1 demonstrates the proposed enhanced MFA for cloud computing for SME.

Figure 1: Proposed Multifactor Authentication Framework (Zulkifli, M.S et al., 2023)

Next, the security challenges in IIoT stem from traditional password-based authentication limitations (Li et al., 2020). Li et al. (2020) developed a protocol specifically tailored for IIoT environments. Their protocol focuses on establishing secure communication channels between devices by implementing MFA mechanisms. They utilized Oblivious Pseudo-Random Function (OPRF) to protect the confidentiality of authentication factors stored on the server side, preventing sensitive information leakage. Additionally, they introduced the Secure Remote MultiFactor (SRMF) protocol, which enhances the authentication and key exchange process in IIoT settings. A security analysis based on Secure Password Hash Functions (SPHF) was conducted to ensure the protocol meets the necessary security standards and can withstand prevalent online and offline attacks in IIoT environments. While enhancing communication security, potential implementation complexities and dependencies on multiple factors are acknowledged as weaknesses. Overall, Li et al. (2020) aims to provide a practical and efficient solution to address the security challenges in IIoT systems by combining MFA, OPRF, and the SRMF protocol. Evaluation metrics cover entity authentication, session key security, forward secrecy, and theoretical and experimental assessments, validating the protocol's security and performance. Future works may focus on simplifying implementation, reducing dependencies, and bolstering resistance against potential attacks, refining the protocol for broader adoption in IIoT settings. Figure 2 shows the high-level overview of how the server authenticates a user-device and establishes a session key based on multiple factors.

Figure2: Proposed Authentication Process (Li et al., 2020)

Khalid et al. (2021) presents the SELAMAT scheme, a MFA solution tailored for an IIoT systems in fog computing environments. Combining smart card, biometric methods, and username/password with AES-ECC encryption, it addresses communication complexity, security threats, and authentication challenges. The process involves five phases: setup, user registration, fog node registration, login, and authentication. Through this approach, SELAMAT ensures mutual authentication between edge devices and fog servers, enhancing security by preventing various attacks such as replay attacks, impersonation attacks, and man-in-the-middle attacks. Strengths include enhanced security, efficient encryption, and cost reduction, while potential weaknesses may include implementation complexity and reliance on biometric authentication. Figure 3 illustrates the system architecture of SELAMAT. Evaluation involves formal security verification, BAN logic for authentication proof, and comparisons with existing schemes regarding security, functionality, and costs.

Figure 3: SELAMAT's System Architecture (Khalid et al., 2021)

Moreover, Zhang et al. (2022) analyses the use of MFA and blockchain technology to secure cross-domain device collaboration in IIoT. The technique encodes multiple variables, including hardware fingerprints, into random integers, which then converted into key materials. Each domain's dynamic accumulator is kept on the blockchain, which causes a less storage overhead (Zhang et al., 2022). The dynamic accumulator for each domain is stored on the blockchain, which reduces storage overhead. To effectively authenticate the un-linkable IDs of the IIoT devices across multiple domains, an on-chain accumulator is used. The security of the protocol is proven, and the

article discusses its functionalities and features. Moreover, a significant reduction of the on-chain storage is shown through the comparison results.

Besides, Han et al. (2024) explores the security challenges inherent in IIoT systems, emphasizing the pivotal role of authentication protocols in safeguarding industrial data. They identify shortcomings in current authentication methods and propose a novel protocol aimed at bolstering security while minimizing communication and computational costs. This protocol integrates symmetric cryptography, hash functions, XOR operations, secret sharing schemes, and session-specific temporary information processing to mitigate security breaches (Han et al., 2024). Its strengths include defense against insider attacks, forward security maintenance, and rigorous analysis using the real-or-random (ROR) model for security assurance. However, potential drawbacks include moderate overhead in communication and computation and reliance on a secure channel for registration and authentication. The authors evaluate the protocol through formal security analysis, informal discussions, and comparisons with existing methods, ultimately presenting it as a promising solution for enhancing the security of IIoT applications and addressing critical vulnerabilities in industrial data protection.

This paper by Zou et al. (2023) discusses the design and evaluation of an efficient and robust three factor user authentication protocol in IIoT for smart factories. This paper also addresses the security and performance issues of the current existing alternatives. The problem statement stated in this research is the need for a secure authentication and a key agreement protocol to protect real-time data flow and ensure the integrity of operations in a smart factory environment. Therefore, this leads to the proposed solution, which is a three-factor authentication protocol, which uses a technique such as ProVerif tool for formal verification and heuristic analyses for the security assessment (Zou et al., 2023). The strength of the proposed solution is better performance in storage, computation costs, and communication. However, this solution shows a potential security flaw in some compared solutions. The solution is evaluated by its the network delay, the analysis functionality, the energy consumption, and semantic security proof as shown in Figure 4.

Figure 4: System model of user authentication in IIoT (Zou et al., 2023).

Next, the article by Ming et al. (2023) addresses challenges in developing a secure one-to-many Authentication and Key Agreement (AKA) scheme that allows a user to authenticate with multiple smart devices simultaneously while minimizing computational and communication costs. The scheme must address potential security vulnerabilities such as man-in-the-middle attacks, lack of perfect forward secrecy, and known session-specific temporary information attacks. Thus, the proposed scheme by Ming et al. (2023) introduces a secure one-to-many AKA system that reduces computational and communication expenses while resolving security concerns. In addition to using symmetric encryption (AES-128) and elliptic curve cryptography for session key formation, the suggested solution presents a new method that combines smart cards, passwords, and biometrics for user authentication. The system model integrates important elements such as the Key Management Center (KMC), gateway, users, and smart devices. Improved security features like mutual authentication and effective resource usage are among the advantages of the suggested method (Ming et al., 2023). On the other hand, dependence on safe implementation of cryptographic operations and key management protocols, and potential vulnerabilities in when smart devices are compromised or user credentials are exposed, are possible drawbacks. Authors assess their proposed solution through comparisons of security and functionality features with related schemes, computation cost analysis in comparison with existing AKA schemes, performance evaluation focusing on computational and communication costs, and security analysis based on the Real-or-Random (ROR) security model and simulation of potential attacks. This comprehensive evaluation ensures a thorough assessment of the proposed solution's efficacy in IIoT environments. Figure 5 illustrates the system model of one-to-many AKA scheme for IIOT.

Figure 5: System Model of One-to-Many AKA Scheme for IIoT (Ming et al., 2023)

Next, Aminian Modarres & Sarbishaei (2022) propose a two-factor authentication protocol for IoT applications, leveraging PUFs and wireless fingerprints. This comprehensive protocol covers registration, authentication, data transfer, and cryptographic key management, utilizing lightweight cryptographic primitives such as one-way hash functions and XOR operations to optimize efficiency. Its strengths lie in robust mutual authentication and resilience against prevalent IoT attacks, though weaknesses include potential security risks associated with a permanent secret (IDd) and underutilization of wireless fingerprints. Evaluation encompasses informal and formal security analyses, showcasing resistance to attacks and concrete proof of strong mutual authentication through the Burrows-Abadi-Needham (BAN) logic, alongside performance metrics demonstrating improved computational efficiency compared to existing schemes. Overall, the proposed protocol offers a significant advancement in fortifying IoT environments, addressing vulnerabilities and providing enhanced security features tailored to IoT applications' unique demands.

Finally, the article by Xu et al. (2023) examines the privacy concerns and security in IIoT. The security issues are created when data is being transmitted over public channels. The article proposes the use of a key agreement system based on elliptic curve encryption and hash in anonymous user authentication. The suggested solution aims to protect user anonymity and enables dynamic user joining via a pseudonym tuple database on control nodes. Moreover, it includes fuzzy biometric extraction technologies to help prevent key loss and device capture assaults. A security analysis was performed by utilizing Real-Or-Random (ROR) model and Burrows-Abadi-Needham (BAN) logic. This method increases efficiency and functionality fitting choices for industrial settings, offering the promise of enhanced security and efficient data transfer for substantial benefits in the IIoT landscape. The trusted authority, user, control nodes, and smart sensor devices make up the system's four entities. Figure 6 illustrates the relationships between these entities in the network model.

Figure 6: Three Factor Anonymous Authentication's System model (Xu et al., 2023)

In this paper, Bhatia et al. (2023), API security-critical issues are mentioned by the authors, focusing on preventing broken authentication vulnerabilities identified in the OWASP Top 10 API Security Risks of 2023. Physical Unclonable Functions (PUF) and hashing technologies are combined as the suggested method to produce a highly secure and low-complexity mutual authentication mechanism that protects privacy. The goal of this protocol is to address the shortcomings of current approaches, which often involve overly complex mathematical processes, making them impractical for modern API-based applications. The performance analysis of the proposed method demonstrates its efficiency, practicality, and enhanced security, making it an effective solution for enterprise web applications.

Furthermore, this paper by Mostafa et al. (2020) presents a robust and efficient mutual authentication protocol for IoT devices and servers using hash functions and PUFs. The proposed protocol is designed to overcome the limitations of traditional cryptographic methods, which are often too computationally intensive for powerconstrained IoT devices. By incorporating two PUFs embedded within the IoT device, the protocol ensures high security with minimal computational overhead. The authentication mechanism involves the use of Hash-Based Message Authentication Code (HMAC) computations, in particular, HMAC-SHA-256, making it lightweight and suitable for low-resource environments. Formal security analysis, including evaluations of its resistance to various cyberattacks, has demonstrated the protocol's robustness and effectiveness. The proposed method is especially relevant in scenarios where maintaining the security of web applications is critical, addressing vulnerabilities such as broken authentication. Future work involves exploring alternative hash functions, implementing proof-ofconcept versions, and assessing the protocol's resilience under different attack scenarios and conditions.

This paper by Luo et al. (2022) introduces a novel authentication protocol tailored for resource-constrained devices in the IIoT. It aims to address the inefficiencies of traditional security mechanisms, which are too resourceintensive for IIoT environments. The proposed protocol utilizes PUFs to ensure secure and lightweight authentication. It operates in two main phases: the registration phase, where devices register with a backend server via a secure channel to establish unique identities, and the authentication phase, where devices authenticate with the gateway-server unit (GSU) using temporary identities and PUF-generated responses. This setup ensures mutual authentication, forward secrecy, and resilience to Denial of Service (DoS) and clone card attacks. The protocol includes a resynchronization mechanism to handle potential desynchronization caused by DoS attacks. Security properties such as user anonymity, confidentiality, and forward secrecy are maintained using secret parameters and hash functions. The protocol's robustness is further validated through formal verification using the Automated Validation of Internet Security Protocols and Applications (AVISPA) tool. The study concludes that this lightweight authentication protocol effectively secures IIoT devices, providing a practical solution for secure machine-to-machine communication in industrial settings while efficiently managing resource constraints.

Several other researchers have also contributed to end-to-end security mechanisms even with the assistance of the counter partners i.e. universities or industries, for broaden implications in future works section. This research article can act as a guideline for future young researchers in end-to-end security measures in 6th generation networks. This improved work (proposed solution) for the given problem statement is adopted from papers by Bhatia et al. (2023), Mostafa et al. (2020), and Luo et al. (2022), which act as a benchmark for this research article.

3 Proposed Solutions

PUFs play a crucial role in boosting security for IoT devices, especially in MFA. PUFs are hardware-based security primitives that exploit the inherent and uncontrollable manufacturing variations in electronic circuits to generate unique identifiers or responses. These identifiers are known as Challenge-Response Pairs (CRPs), where each challenge input results in a distinct response output, as depicted in Figure 7.

Figure 7: Authentication process of Physical Unclonable Function (PUFs) chip (Asif et al., 2020)

These identifiers are nearly impossible to replicate or clone due to the small differences created during the manufacturing process, ensuring each device has a specific and individual identifier (Bhatia et al., 2023). The functional relationship between the challenge and response in PUFs resembles that of a random function, deriving its unpredictability from the variance in manufacturing processes. This characteristic makes it exceedingly difficult, if not practically impossible, to predict the response to a specific challenge or to replicate the PUF's

behavior in hardware or software. This unique characteristic of PUFs provides a strong advantage for verifying the authenticity and integrity of devices.

Traditional authentication methods often depend on storing secret keys in the non-volatile memory of IoT devices, which can be risky if an attacker gains physical access. PUFs address this vulnerability by dynamically generating device-specific secret keys, thus eliminating the need to store cryptographic keys on the device. This dynamic key generation ensures that even if someone physically tampers with the device, the cryptographic identity remains secure, making PUFs highly resistant to a wide range of attacks, including physical tampering and cloning (Mostafa et al., 2020).

In the proposed authentication mechanism, PUFs are combined with HMAC-SHA-256 to enhance security further. HMAC-SHA-256 helps establish secure session keys and supports secure data communication once authentication is successful. This hashing algorithm ensures message integrity by functioning as a one-way process that verifies the authenticity of messages. Each IoT device undergoes a registration phase with a backend server through a secure channel, where it generates a unique response using its PUF in response to a challenge from the server. This response is stored for future authentication. During the authentication phase, devices use temporary identities and generate PUF-based responses combined with XOR operations to communicate with the Gateway-Server Unit (GSU). The GSU verifies these responses using the stored data from the registration phase, ensuring mutual authentication and forward secrecy (Luo et al., 2022). The combination of PUFs and HMAC-SHA-256 makes each authentication attempt unique, effectively protecting against replay and relay attacks. The challenge-response mechanism of PUFs adds another layer of security, ensuring that the authentication process cannot be easily duplicated or intercepted (Mostafa et al., 2020).

PUFs also offer a lightweight and efficient alternative to traditional encryption methods, which is particularly beneficial for IoT applications with limited resources. By generating cryptographic keys on-the-fly, PUFs eliminate the need for key storage and management, thereby enhancing security without adding significant computational or storage overhead. This efficiency makes PUFs an ideal solution for IoT devices that have limited space and power, ensuring that security measures do not compromise device performance (Mostafa et al., 2020). Moreover, PUF-based authentication mechanisms ensure that sensitive data is never stored in the device's memory, which enhances resilience against physical tampering. The unique responses generated by PUFs during each authentication attempt prevent attackers from cloning or replicating the device's cryptographic identity. This capability is vital for maintaining the security of devices in potentially hostile environments where physical attacks are a real threat (Mostafa et al., 2020).

Using PUFs in MFA frameworks for IoT devices significantly boosts overall security. By leveraging the physical differences in each device for unique identification, PUFs ensure the integrity and confidentiality of data exchanges, even in resource-constrained environments (Luo et al., 2022). The reliability and unpredictability of PUFs make them a strong defense against sophisticated cyber threats common in IoT environments, such as manin-the-middle attacks, insider attacks, and impersonation attacks. PUFs also offer notable advantages in terms of reliability and uniqueness, which are critical for verifying the authenticity of IoT devices and users. Using PUFs in the authentication process helps create secure communication channels and verify device and user identities without relying solely on traditional methods like passwords or smart cards. This adds an extra layer of security to the authentication process, making it more robust against sophisticated cyber threats (Luo et al., 2022).

While PUFs provide a strong foundation for secure authentication, integrating Artificial Intelligence (AI) can further enhance the robustness and efficiency of this system. AI algorithms can optimize the selection of CRPs used in PUFs. By learning patterns and predicting the most effective CRPs, AI ensures robust authentication while minimizing the risk of replay attacks. AI can continuously monitor the authentication process and detect anomalies. For instance, if an unusual pattern of access requests is detected, AI can flag potential security breaches and trigger additional verification steps. AI can also analyze contextual data (e.g., location, time of access, user behavior) to dynamically adjust the authentication requirements. For example, accessing an IoT device from an unusual location might prompt the system to require additional verification steps. Furthermore, AI and machine learning techniques can be used to improve the reliability and robustness of PUFs by compensating for environmental variations and aging effects that might affect the PUF's performance over time. AI can dynamically adjust cryptographic parameters and CRPs to ensure enhanced forward secrecy and mutual authentication, adapting to potential threats in real-time.

Integrating AI with PUFs that combined with HMAC-SHA-256 for MFA in IoT devices provides a sophisticated and secure approach to protecting these devices. This combination leverages the unique properties of PUFs and the intelligent capabilities of AI, resulting in a robust, adaptable, and scalable security solution. By optimizing challenge-response pairs, detecting anomalies, and adapting to contextual data, AI enhances the security provided by PUFs, ensuring that IoT devices remain secure even in the face of evolving cyber threats.

4 Analysis Discussion

4.1 Descriptive Analysis

The proposed MFA mechanism addresses several critical issues identified in the current landscape of IIoT security. By leveraging PUFs and HMAC-SHA-256, the solution effectively mitigates vulnerabilities associated with traditional authentication protocols, particularly those relying on stored secret keys. In traditional systems, secret keys stored in non-volatile memory are prone to extraction and cloning through physical tampering. PUFs, on the other hand, generate unique device-specific keys dynamically based on the inherent physical characteristics of each device, thereby making it exceedingly difficult for attackers to replicate or extract these keys. This dynamic key generation significantly enhances the robustness of the authentication process against physical tampering and unauthorized access. The protocol includes two phases: registration phase and authentication phase.

i. Registration Phase

In the initial registration phase, each IoT device engages in secure communication with the backend server. The server issues a unique challenge to the device, which then generates a corresponding response using its PUF. This response is sent back to the server and stored securely for future reference. This phase ensures that the server has a unique, device-specific identifier that can be used to authenticate the device in subsequent interactions.

ii. Authentication Phase

The authentication phase is designed to be both secure and efficient. When an IoT device at-tempts to authenticate with the gateway-server unit (GSU), it uses a temporary identity to initiate the process. The GSU responds with a new challenge. The device, leveraging its PUF, generates a response that is combined with the temporary identity using XOR operations. This response is transmitted to the GSU, which verifies it against the stored data from the registration phase. This method ensures mutual authentication, where both the device and the server validate each other's identities, thus preventing impersonation attacks.

Moreover, the proposed mechanism is particularly suitable for resource-constrained IIOT devices. Traditional cryptographic methods often impose substantial computational and storage overhead, which can be detrimental to the performance of devices with limited resources. The integration of PUFs with HMAC-SHA-256 ensures a lightweight and efficient authentication protocol, maintaining high security standards without compromising device performance. This efficiency is crucial for IIoT environments, where maintaining operational effectiveness while ensuring security is paramount.

The proposed authentication mechanism also offers substantial protection against common cyber threats, including replay attacks, relay attacks, and various forms of cyberattacks such as man-in-the-middle, DoS, and impersonation attacks. By generating unique identifiers for each authentication attempt, PUFs ensure that intercepted data cannot be reused by attackers. This capability secures communication channels and enhances overall system integrity, addressing one of the major shortcomings of existing authentication mechanisms. Thus, the proposed solution not only improves security but also ensures the reliability and efficiency necessary for modern IIoT applications.

4.2 Mathematical Analysis

The proposed authentication mechanism's mathematical foundation ensures both security and efficiency. The PUF-based key generation process can be expressed as $K_i = PUF(d_i)$, where d_i represents the unique physical characteristics of device i_i , and K_i is the unique key generated for the device. This method eliminates the need to store K_i in memory, thereby enhancing security against physical attacks. The HMAC-SHA-256 authentication process is defined by the equation:

$$
HMAC(K_iM) = H((K_i \oplus opad) || H((K_i \oplus ipad) || M))
$$

where *M* is the message to be authenticated, *H* is the SHA-256 hash function, $\vert\vert$ denotes concatenation, and *opad* and *ipad* are the outer and inner padding constants, respectively. This ensures the integrity and authenticity of messages exchanged between devices and servers. In the challenge-response mechanism, the server sends a challenge C to the device, which then computes the response R as follows:

$$
R = HMAC(K_iC)
$$

The server verifies R by comparing it with the expected response calculated using the same K_i . This mechanism adds an additional layer of security, making it challenging for attackers to predict or reuse authentication data.

The PUF mechanism is further enhanced by adding a registration phase and authentication phase. Table 1 shows the definition of each symbol used.

Symbol	Definition
D	Resource-constrained devices in HoT
GSU	Gateway-Server Unit
TID^i_i	Temporary identity of the device <i>j</i> for <i>i</i> -th round
C_i^i	Challenge of the device <i>j</i> for <i>i</i> -th round
R_i^i	Response of the device <i>j</i> for <i>i</i> -th round
N_d/N_s	Random number generated by device/server
$PUF(\cdot)$	Secure physically unclonable function
$h(\cdot)$	One way Hash Function
\oplus	Exclusive-OR operation
	Concatenation operation

Table 1: Symbols and cryptographic function

i. Registration Phase

During the registration phase, each resource-constrained device (D) must register with the backend server via a secure channel. Initially, the server generates a random challenge C_j^1 and a temporary identity TID_j^1 , which are then sent to the device. Upon receiving these, the device stores TID_j^1 and C_j^1 , and produces a corresponding response R_j^1 using its PUFs. The device then sends R_j^1 back to the server. Finally, the server securely stores the entry comprising $\{C_j^1, R_j^1, TID_j^1\}$. This process establishes a unique identifier and challenge-response pair for each device, ensuring secure authentication in future interactions (Luo et al., 2022).

ii. Authentication Phase

The resource-constrained D generates a random number N_d and computes its temporary identity TID_j^1 . It then sends these values to the GSU. Upon receiving the temporary identity, the GSU uses it as an index to search the corresponding entry in the database. If a matched entry is found, the GSU generates a random number N_s and computes a response message M_2 containing the verification parameter V_1 and Ns. This message is then sent to the D. D verifies the received message M_2 and responds with a message M_3 containing the verification parameter V_2 . This message is sent to the GSU. The GSU verifies the parameter V_2 to ensure the legality of D. If the verification is successful, the mutual authentication between the resource-constrained device and the GSU is achieved. Throughout this process, the protocol ensures forward secrecy, resilience against DoS attacks, and mutual authentication between the resourceconstrained device and the GSU. These steps collectively contribute to the security and efficiency of the authentication phase in the proposed lightweight protocol (Luo et al., 2022).

The protocol ensures forward secrecy, resilience against DoS attacks, and mutual authentication between the resource-constrained device and the GSU. This is achieved using temporary identities, random challenges, and verification parameters, which collectively contribute to the security and efficiency of the authentication phase.

4.3 Protocol Analysis

To ensure the effectiveness of PUFs and hash algorithms in enhancing the security for IIoT devices, the proposed mutual authentication mechanisms are evaluated against several types of cyberattacks. These assessments are essential to validate the solution's capability to withstand sophisticated threats prevalent in the industrial environment. The cyberattacks are:

i. Replay Attack

Replay attacks involve capturing valid data transmissions and retransmitting them to trick the system into granting unauthorized access. Our proposed mechanism uses timestamps in each communication between the IoT device and the server. Each message includes a timestamp indicating when it was sent. The server and IoT device validate these timestamps against a predefined validity period. If a timestamp is outdated or falls outside the acceptable range, the message is rejected. This ensures that even if an attack captures and retransmits a message, the outdated timestamp will pre-vent it from being accepted. Additionally, the unique CRPs used in each session add another layer of protection, as they cannot be reused.

ii. Machine Learning Attack

Figure 8 illustrates machine learning attacks aimed at predicting the responses of a PUFs (Ganji et al., 2022).In the traditional approach, multiple CRPs from the PUF are obtained, and an empirical learning algorithm is used to model the PUF's behaviour, allowing an attacker to predict future responses given new challenges.

Figure 8: Schematic illustrating the differences between a provable and an empirical ML algorithm applied in the context of PUFs

However, in the proposed protocol, only a single CRP is used per IoT device, and these CRPs are changed regularly. This dynamic approach prevents attackers from gathering sufficient data to effectively train a machine learning model to predict the PUF's responses accurately. Furthermore, the PUF's response is not transmitted in plaintext, making it more difficult for an attacker to obtain the necessary data for modelling. By limiting the exposure of CRPs and never directly transmitting the PUF's response, machine learning attacks become impractical in this proposed protocol

iii. Man-in-the-Middle Attack

In a man-in-the-middle attack, an attacker intercepts and possibly alters communication between two parties. Our proposed mechanism ensures that sensitive data such as SRAM keys, PUF responses, and session keys are never exchanged in plaintext. The only data transmitted in plaintext are the IDd, timestamps (TS1, TS2, TS3), the PUF challenge (C), and hashed messages. Any alteration by a man-inthe-middle attacker will be detected through mismatched hashed messages, causing the connection to be dropped and maintaining data confidentiality.

iv. Invasive Attack

Invasive attacks involve physically tampering with the device to extract its cryptographic keys. The unique characteristics of silicon PUFs make duplication unworkable. Since the initial secret key is not stored on the IoT device chip, there is no useful information that invasive attacks can extract to compromise the device's security.

v. Firmware Attack

Firmware attacks aim to compromise the software running on the device to extract cryptographic keys stored in non-volatile memory. The proposed authentication scheme uses SRAM PUFs, which do not require storing the secret key in memory. This means that firmware attacks will not reveal any useful information, thus maintaining the security of the IIoT devices.

vi. Impersonating/Spoofing Attacks

Impersonation or spoofing attacks occur when an attacker tries to masquerade as a legitimate de-vice or server. Even if an attack somehow obtains the SRAMS key (SRAMk) of the IoT device, they will also need to know the Arbiter PUF responses to launch a successful spoofing attack. Since the PUF response is not exchanged in plaintext and the Arbiter PUF cannot be duplicated, spoofing attacks against our mutual authentication mechanism are inapplicable.

vii. Eavesdropping Attack

Eavesdropping attacks involve an attacker passively listening to communication between the IoT device and the server to extract sensitive information. Our mutual authentication mechanism does not exchange sensitive data such as SRAM keys, PUF responses, or session keys in plain text. Even if an attacker captures the communication link, they will not obtain any useful information to compromise the device's security.

viii. Side Channel Attack

Side Channel attacks exploit physical implementation of cryptosystem, such as power consumption or electromagnetic emissions, to extract cryptographic keys. While it is possible for a side channel to attack to recover the Arbiter PUF response, this response is not used to generate the session key and does not contain any sensitive data. Thus, even if the Arbiter response is com-promised, it will not be useful to the attack. Additionally, research on securing SRAM PUF keys against side channel attacks enhances the reliability of using SRAM PUFs in IIoT authentication scheme. This method reduces the effectiveness of side channel attacks compared to mechanisms deploying only (Bhatia et al., 2023) one PUF.

ix. Denial of Service (DoS)

The protocol is designed to combat DoS attacks, a significant concern in network security. It achieves this through a resynchronization mechanism, ensuring robustness even in the face of potential threats. Key strategies include the use of temporary identities, random challenges, and dynamic verification parameters, updated after each authentication process. This prevents desynchronization issues caused by blocked messages. Additionally, the protocol ensures collaboration between the GSU and resource-constrained devices (D), maintaining the latest authentication entries together. These measures aim to secure communication in resource-constrained systems within the IIoT environment, effectively mitigating the impact of DoS attacks.

Table 2 below is the summary table of the protocol analysis against different types of cyberattacks:

Table 2: Protocol analysis against types of cyberattacks

4.4 Security Analysis

In this section, security analysis demonstrates that our method can overcome certain security characteristics and harmful behaviors. We determine that our proposed system meets the needed security properties to tolerate various known authentication threats in IIoT by thorough informal security research.

i. User Anonymity

Anonymity encompasses untraceability and unlinkability. Untraceability implies that an opponent cannot determine which identities in the same group belong to whom. In contrast, unlinkability indicates that an adversary is unable to determine if two identities belong to the same user. The devices do not reveal their true identities or secrets during each authentication occurrence in our proposed approach since all sent messages are calculated with a random integer. Moreover, the temporary identities TDI_j^i are calculated by random challenge C_j^{i+1} and one-way hash function.

ii. Confidentiality

The confidentiality of our protocol is ensured by using the secret response parameter, R_{ij} , in all transmitted messages (M_1 , M_2 , and M_3) between the Device and the GSU. Without R_{ij} , an adversary cannot forge valid parameters needed for authentication. Additionally, all verified messages and parameters are protected by a hash function, making it impossible for an adversary to recover other secrets, even if they obtain temporary identities and challenges from the device's memory.

iii. Forward Secrecy

Our protocol ensures resilience against DoS attacks by achieving mutual authentication between Device and the GSU through verified messages M_2 , and M_3 . D authenticates GSU by verifying $V_1 = h(R_{ij}||N_s||N_d)$ which an attacker cannot generate without knowing R_{ij} . Similarly, GSU authenticates D by verifying V_2 = $h(C_{i,i+1}||R_{i,i+1})$ *) ensuring that attackers cannot generate a legitimate V_2 without the correct $R_{i,i}$. This mutual authentication mechanism protects against DoS attacks.

iv. Mutual Authentication

An authentication protocol should ensure forward secrecy to safeguard past sessions from future secret key compromises. In our protocol, following each successful mutual authentication, the challenge parameter C_{ij} and the response number R_{ij} will be updated with the new random number.

v. The Resilience of DoS Attacks

Our proposed scheme ensures resilience against DoS attacks and desynchronization by implementing an innovative resynchronization mechanism. Both communicators update their temporary identity (TID), challenge (C), and response (R) after each authentication. The GSU preserves the current and previous authentication entries, while Device (D) retains the last and current challenge parameters. If synchronization is lost, D can resend the previous TID to GSU to reestablish synchronization, thereby protecting against DoS attacks caused by message blocking.

vi. AI for Robust Authentication

The incorporation of AI techniques into the proposed authentication mechanism enhances its robustness against various security threats and attack vectors. One critical aspect is the use of AI for continuous monitoring and anomaly detection during the authentication process. By training machine learning models on a diverse dataset of legitimate authentication patterns, the AI com-ponents can effectively identify deviations or anomalies that may indicate potential attacks or unauthorized access attempts.

For instance, the AI model can detect suspicious patterns such as rapid authentication attempts from multiple locations, unusual device behaviour, or authentication requests deviating from the user's typical usage patterns. In such cases, the AI system can trigger additional verification steps, request additional authentication factors, or temporarily block access until the anomaly is resolved.

Furthermore, AI can play a crucial role in adaptive authentication, where the authentication requirements are dynamically adjusted based on contextual factors and perceived risk levels. If an authentication request originates from a new or untrusted location, the AI system may prompt additional biometric authentication or employ more stringent challenge-response mechanisms. Conversely, if the request comes from a trusted location and exhibits normal behaviour patterns, the authentication process can be streamlined for a seamless user experience.

vii. AI for Resilience against Attacks

The proposed authentication mechanism leverages AI techniques to enhance its resilience against various types of attacks, including replay attacks, man-in-the-middle (MITM) attacks, impersonation attacks, and machine learning attacks. By incorporating AI, the system ensures robust security for IIoT devices.

Replay attacks are mitigated through AI models trained to detect and prevent such occurrences. These models analyse the contextual information associated with each authentication attempt, such as timestamps, device identifiers, and environmental factors. By scrutinizing this data, the AI system can identify anomalies and flag any attempts to reuse previously captured authentication data, effectively blocking replay attacks.

Man-in-the-Middle attacks are addressed by employing AI to continuously monitor communication channels. The AI system analyses traffic patterns, packet characteristics, and other relevant network-level features to detect potential man-in-the-middle attacks. By identifying suspicious activity or deviations from normal communication patterns in real-time, the AI can promptly mitigate these threats, ensuring secure communication.

Impersonation attacks are inherently resisted by leveraging the unique and unpredictable nature of PUFs. AI further enhances this protection by learning the typical behaviour patterns of legitimate devices and users. By understanding these patterns, the AI system can detect potential impersonation attempts based on deviations, providing an additional layer of security against such attacks.

Machine learning attacks pose a significant threat, but the proposed mechanism employs various countermeasures to mitigate this risk. AI models are trained using robust techniques, such as adversarial training and data augmentation, to improve their resilience against adversarial examples. The dynamic nature of PUF-based authentication, where unique challenge-response pairs are generated for each authentication attempt, makes it difficult for attackers to gather sufficient data to mount effective machine learning attacks. This combination of AI techniques and PUF properties ensures robust defense against adversarial machine learning.

By leveraging the capabilities of AI in conjunction with the inherent security properties of PUFs, the proposed authentication mechanism offers a multi-layered defense against a wide range of security threats. This approach ensures robust and resilient authentication for IIoT devices, safeguarding them against sophisticated attacks and enhancing overall security.

5 Conclusions

In conclusion, the proposed MFA mechanism significantly enhances the security and efficiency of IIoT systems. By integrating PUFs and HMAC-SHA-256, the solution effectively mitigates vulnerabilities inherent in traditional authentication methods, particularly those reliant on stored secret keys susceptible to extraction and cloning. Moreover, the incorporation of AI to optimize challenge-response pairs and detect anomalies can significantly enhance the robustness of the authentication mechanism, providing a dynamic and adaptable security solution capable of evolving in response to emerging threats. This approach not only prevents impersonation attacks but also maintains high security standards without imposing substantial computational and storage overhead, making it suitable for resource-constrained IIoT devices. Furthermore, the protocol offers robust protection against a range of cyber threats. By generating unique identifiers for each authentication attempt, it ensures that intercepted data cannot be reused by attackers, thereby securing communication channels and enhancing overall system integrity. Thus, the proposed solution not only improves security but also ensures the reliability and efficiency necessary for modern IIoT applications.

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Pioneering Blockchain Assisted Authentication Frameworks for the Industrial Internet of Things

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Abstract *- In the rapidly evolving landscape of technology, integrating blockchain with Industrial Internet of Things (IIoT) presents a groundbreaking synergy with transformative potential. This paper addresses key security challenges in IIoT environments by proposing a novel authentication mechanism for Industrial Internet of Things (IIoT) systems that enhances security by integrating Quantum-Elliptic Curve Cryptography (QECC) and a blockchain-regulated, automatic key refreshment mechanism. Building on the ECC-based Diffie-Hellman protocol, our approach addresses vulnerabilities such as Man-in-the-Middle (MITM) attacks by combining quantum cryptography with ECC to detect eavesdroppers and secure communications between Base Stations (BS), Relay Stations (RS), and Subscriber Stations (SS). The blockchain-regulated mechanism ensures periodic and verifiable key updates, enhancing key management against MAC layer and spoofing attacks. This integrated framework significantly improves the security of IIoT systems by ensuring confidentiality, integrity, availability, authenticity, and non-repudiation, offering a robust solution for secure data transmission in IIoT environments.*

Keywords: Blockchain, IIoT, Key Refreshment, QECC, spoofing.

1 Introduction

In the rapidly evolving landscape of technology, the marriage of blockchain technology and Industrial Internet of Things (IIoT) has emerged as a groundbreaking synergy with transformative potential.

Blockchain is a system that utilizes a decentralized and dispersed network to transcribe transaction (Liu et al, 2024). It is made up of several interconnected inscribed transactions to assemble a chain of blocks. Decentralization of records discourages the need for mediator entities, such as banks or third-party institutions, ensuring transparency, immutability, and security of data.

On the other hand, IoT encompasses a vast ecosystem of interconnected devices, ranging from smartphones and wearable gadgets to industrial sensors and smart appliances (Wang et al., 2021). These devices collect and exchange data autonomously, enabling seamless communication and automation in various domains, including healthcare, transportation, agriculture, and manufacturing (Mishra et al., 2023).

When combined, blockchain and IoT create a powerful symbiosis that addresses several critical challenges in the IoT applications. Traditional IoT networks often grapple with issues such as data security, privacy concerns, data integrity, and interoperability (F. Wang et al., 2024). Blockchain technology provides new and innovative solutions to these endeavors by providing a tamper-resistant and transparent framework for managing IoT data.

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Figure 1 shows a model of a generic blockchain-assisted authentication for IoT. The model consists of two type of nodes which are validation and orderer nodes respectively. The ledger, blockchain and smart contracts are installed in each node. The nodes are responsible to validate new blocks validity before it is added into the blockchain. The nodes receive IoT's transaction proposals, executing smart contracts, endorsing results and return as proposal responses to the respective IoTs. Orderer nodes then package them in new blocks following a certain order.

Based on the related works outlined in section III, the problem statements identified are that IIoT systems are increasingly becoming targets for cyber-attacks, highlighting a significant challenge in enhancing their security measures. In addition, current authentication protocols in IIoT systems face reliability and privacy issues, which compromise their effectiveness and adaptability to future threats. Moreover, the trustworthiness of these authentication systems is under constant scrutiny due to vulnerabilities that can be exploited, undermining user confidence and the overall integrity of IIoT networks.

To address the first problem statement pertaining to the security of IIoT systems, a reliable mechanism which helps in regularly refreshing keys must be implemented by leveraging blockchain technology to provide accountability and mitigate possible security breaches (Mishra et al., 2023).

Moving on, it is imperative for IIoT environments to have strong reliability and privacy of authentication. As such, a decentralized authentication scheme is needed to mitigate single points of failure and susceptibility to various attacks, whilst also reducing maintenance overheads and system complexities as a decentralized scheme introduces more redundant points to confuse potential attackers (Liu et al, 2024). To further enhance this, the current over-reliance on the 40-year-old RSA encryption system leaves current systems with vulnerabilities. A new encryption framework needs to be proposed to provide the necessary privacy and protection from future threats.

Furthermore, with the majority of the web utilizing some form of single-factor authentication (SFA) or multifactor authentication (MFA), authentication services are in peril of tamper attacks from external or internal forces. To resolve this, blockchain-based authentication can be introduced to enable tamper-proof audit trails with immutable records to increase trustworthiness of security assets and logs.

Figure 1: Generic model of blockchain-assisted authentication mechanism for IoT

2 Related Works

This section summarizes 10 articles which are relevant to authentication mechanisms using blockchain technology on Industrial Internet of Things (IIoT). Each article begins with a brief background followed by a problem statement. Additionally, a proposed solution is highlighted to address the problem statement, alongside its strengths and weaknesses. To conclude each summary, we touch upon the respective authors' evaluation metrics used in their assessment.

High-speed railroads (HSR) are rapidly integrating smart technology based on the continued development of railways (LTE-R) mobile communication to connect with control centers (Liu et al, 2024). However, LTE-R adheres to the Evolved Packet System-Authentication and Key Agreement (EPS-AKA), a protocol that has shown multiple security vulnerabilities in recent years. These vulnerabilities include key leakage, susceptibility to privileged user attacks, and significant authentication delays. To mitigate the aforementioned problems, researchers propose a novel approach; a reliable and secure access authentication method for LTE-R that leverages blockchain technology and integrates the secgear framework for privacy protection. This proposal capitalizes on blockchain's decentralized nature, effectively removing the vulnerability of a single point of failure. The secgear framework further enhances privacy by providing robust protection measures. The proposed scheme also introduces a phase for handover authentication and another for password changes, augmenting LTE-R access authentication with additional security attributes. Analysis of security and simulation experiments show that the approach ensures privacy protection with acceptable efficiency. However, it is essential to note that the proposed technique may not be future-proof against unknown attacks. The evaluation metrics used include formal security analysis using tools like ROR and AVISPA, as well as informal security analysis.

The Industrial Internet of Things (IIoT) has witnessed exponential growth, resulting in a massive network of interconnected physical and virtual objects. These objects, ranging from sensors to digital tickets, exchange data collected from their surrounding environment. With the proliferation of IIoT devices, a substantial amount of data is transmitted between heterogeneous sensors and devices at an unprecedented rate. However, this surge in data exchange also increases the risk of security threats, such as eavesdropping and hijacking attacks during communication channels. To address these concerns, certificateless signature (CLS) protocols have gained popularity. These protocols leverage the Schnorr signature mechanism and are designed for resource-constrained IIoT environments (Wang et al., 2021). Unlike traditional public key infrastructure (PKI) schemes, CLS avoids the need for a central Key Generation Center (KGC) responsible for certificate management. However, existing CLS schemes still face security vulnerabilities, such as those posed by KGC compromised, man-in-the-middle (MITM), and distributed denial of service (DDoS) attacks. To enhance security and reduce costs, this paper proposes a novel approach: a pairing-free CLS scheme that leverages blockchain technology and smart contracts. By transforming the KGC logic into smart contract code, the proposed solution achieves decentralization and mitigates DDoS attacks. Formal and informal security analyses, along with performance evaluations, demonstrate that this design offers more reliable security assurance with reduced computation and communication costs compared to other CLS schemes. The evaluation metrics used are computation cost and communication cost

To fortify the integrity of an IoT system, the periodic renewal of cryptographic keys is essential. This process, a cornerstone of robust key management, involves the systematic update of cryptographic keys to maintain stringent security measures. However, this vital practice is often disregarded, with many network operators bypassing the crucial step of refreshing session keys due to a lack of regular maintenance or a robust auditing mechanism (Mishra et al., 2023). Addressing this gap, the proposed blockchain-regulated key refreshment scheme leverages a distributed ledger technology to enhance security. Its primary advantage lies in its ability to prevent adversaries from deducing previous session keys, even if they manage to access a current key, thereby bolstering the system's defense against security breaches. Nevertheless, the scheme is not without its challenges; scalability issues arise as the volume of data processed and stored on the blockchain grows, potentially leading to slower transaction rates2. To validate the efficacy of this solution, the authors have conducted a comprehensive evaluation across three distinct experimental setups: an Ethereum-based setup, a Hyperledger Fabric-based setup, and a nonblockchain MongoDB setup. The performance of these systems was meticulously assessed through various metrics, including cost computation and scalability, to determine their effectiveness in real-world applications.

In the realm of industrial production, the integration of smart devices (SDs) and the adoption of new technologies have become a common practice. This integration is primarily aimed at enhancing production efficiency and reducing the overall cost of production. As part of the production process, data generated by these SDs are shared across various platforms. This sharing of data is instrumental in optimizing decision-making processes. However, it also presents challenges regarding security and efficiency because of the inherent nature of data sharing. The sharing process exposes large volumes of data to an open network. This exposure can potentially harm industrial

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departments that are particularly concerned about privacy issues. To address these challenges, a method has been suggested. This method is a low-complexity, high-security data-sharing strategy that is based on the concept of proxy re-encryption (F. Wang et al., 2024). The strength of this method lies in its ability to efficiently oversee shared data while also maintaining a low computational cost. The suggested method offers a robust solution to secure data exchange amongst SDs. It does so by significantly reducing the computational and storage overhead typically associated with blockchain technology. This reduction in overhead is a key feature of the suggested method, making it a viable solution for data security in industrial production. To gain access to this suggested security solution, an adversarial-challenger game, known as the "ciphertext indistinguishability game", was established. This game serves as a mechanism to test the robustness of the security solution. Furthermore, a performance assessment was conducted to evaluate the effectiveness of the proposed approach. During this evaluation, the time required for the SD to encrypt data and sign ciphertext was calculated. These calculations were then compared with other similar schemes to determine the effectiveness and efficiency of the suggested method.

IIoT is an open and scalable platform for exchanging data amongst industrial devices in both local and global operations, providing significant opportunities and contributing to Industry 4.0 innovation. However, the security and privacy of data within industrial IoT applications depend heavily on the users' reliability, established through user authentication - a generally employed method for ensuring security (X. Wang et al., 2021). Therefore, the current user authentication systems within industrial IoT face challenges such as single-factor authentication and limited adaptability to accommodate the increasing number and diverse categories of users. Addressing these issues, this article introduces another form of validation solution called ATLB (Authentication Mechanism based on Transfer Learning empowered Blockchain), utilizing blockchain technology and transfer learning. Specifically, ATLB begins by training the user authentication model for the specific region using a guided deep deterministic policy gradient technique. Then, this model is applied locally for authenticating foreign users or transmitted across regions to authenticate users in other areas, thereby significantly reducing the model training time. The strength of ATLB is that it can ensure precise authorizations whilst also attaining superior throughput and minimal latency. Contrarily, the implementation of ATLB may introduce complexity in system design and maintenance. The authors evaluate the solution regarding system throughput, transaction latency, and authentication accuracy.

Industry 4.0 utilizes computing technologies including mobile, IoT, edge computing, embedded software, and virtualization to automate industrial processes. IoT devices are interconnected through a cyber-physical system, which includes both physical and digital entities. It has the potential to revolutionize computing by enabling predictive maintenance for data-intensive applications. Every program includes unique smart intelligence characteristics, including practical and analytical tools for identifying data-driven policies. Despite the chain of interconnected IoT devices, they rely on a centralized hub for authentication and are thus vulnerable to single points of failure (SPOF) (Deebak et al., 2023). This centralization creates a significant risk, as the failure of the central hub can compromise the entire network, leading to potential downtime, security breaches, and loss of critical data. The problem statement now becomes the implementation of a decentralized authentication scheme that is scalable for IOT Applications. Hence, the paper proposes a trust-aware blockchain-based seamless authentication mechanism that preserves privacy (TAB-SAPP) for IoT applications. By splitting the authentication process among numerous nodes, the system may keep operating even when one or more of them fail, thereby significantly reducing the possibility of a complete network shutdown. The positives of utilizing TAB-SAPP are better data traffic analysis and organization, lightweight computational overhead, and reliability in high packet delivery ratio. Additionally, using lightweight crypto operations like one-way hashing and bitwise XOR can reduce costs for computation and communication. However, the TAB-SAPP scheme is not without its drawbacks. It entails a complex system model with several stakeholders and transactions for authentication and maintenance issues due to the complexity of the structure. This complexity can result in increased difficulties in managing and troubleshooting the network, potentially leading to higher maintenance costs and longer downtimes. The evaluation metrics used are computation, the speed of mobility, communication, and packet delivery ratio.

Whilst 5G is still being implemented these days, experts have focused on the transition from 5G to 6G. The high network traffic in this period necessitates the adoption of 6G technology, which will prioritize consumers, mobile devices, service suppliers, and operators of networks, as essential enablers in the environment. The Cell-Free massive Multiple Input Multiple Output (mMIMO) technology has been integrated into future 6G networks is inevitable, acknowledging that the era of 6G technology is just around the corner. This technology ensures seamless connectivity and low-latency services. However, its dynamic nature in highly distributed, high-mobility, and frequent data interchange systems offers issues for authentication protocols and secure communication, including high overhead and costs. To address these challenges, a lightweight multifactor authentication protocol

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with the ECC-based Deffie Hellman (ECDH) is proposed (Khan et al., 2023). It incorporates timestamping, hash functions, a Blind-Fold Challenge scheme, and technology of blockchain with the proof of stake (POS) consensus for integrity, non-repudiation, and traceability. This solution enables an authorized user to connect to smart industrial equipment to retrieve real-time data using a verified session key. Content servers facilitate mutual authentication and key agreements between users and smart industrial devices. Therefore, it helps to mitigate a myriad of security attacks, which includes replay, spoofing, man-in-the-middle (MITM) attacks, and denial of service (DoS), alongside eavesdropping and user location privacy issues, while also reducing authentication, communication, and computational overhead significantly compared to baseline protocols. The metrics used to evaluate the proposed protocol include communication cost, computational cost, and authentication overhead.

The rapid growth of IoT applications enabled by 5G networks leads to increasingly complex multidomain environments. Within these environments, the need for greater consideration for interdomain authorization and authentication (A&A), combined with the deployment of disparate intradomain A&A mechanisms, leads to significant domain compatibility as well as challenges (Tong et al., 2023). Therefore, a blockchain-assisted intra/inter-domain A&A method for IoT is proposed. This protocol first combined a mutual access control based on a contract to establish secure access between domains, followed by a safe and privacy-preserving authentication protocol using adapted one-out-of-many-proof approaches which allows for efficient and secure verification processes. and a mechanism based on voting to employ a threshold-based cryptosystem. The proposed scheme effectively achieves domain interoperability by providing a secure method for various authentication and authorization methods to grant access between domains. Additionally, it ensure the protection of devices and domains while allowing legitimate audits of threatening devices operating outside the domain. This method is well-suited for IoT devices with limited resources due to its low on-device authentication cost, which remains unaffected by the complexities of the authentication procedures. With a flexible and generic solution, it is readily implemented in IoT applications with different domains which can improve security and compatibility. It ensures security features like domain interoperability (DI), protection of privacy, and accountability. The metrics used to evaluate the proposed protocol include computation cost, communication, storage, and system deployment overheads. These metrics provide a thorough assessment of the protocol's performance and its suitability for complex IoT environments.

Industrial Big Data is essential to the Industrial Internet of Things (IIoT), powering several intelligent applications through exchange of data and computing. By incorporating 5G communication and mobile edge computing, industrial applications may reduce their computational and communication costs. Nowadays, organizations are increasingly hesitant to share sensitive data due to privacy concerns, which hinders collaborative computation efforts essential for optimizing industrial processes (Yang et al., 2022). To address this, there is a pressing need for secure and privacy-preserving methods of data sharing and joint computation. Hence, the proposed scheme integrates blockchain technology to enable privacy preservation and public audibility in multiparty computation, whilst utilizing noninteractive zero-knowledge proofs. It provides privacy protection and public access by segregating data ownership, use, and verification, ensuring data confidentiality while allowing for transparent verification. The use of blockchain technology improves the traceability of illegal data and computation behavior, whereas noninteractive zero-knowledge proof strengthens security by allowing the public validation of consistent data and computational validity. However, this solution requires multiple rounds of connection, and data supplied by participants who lack mutual trust cannot undergo public verification and objectively. Individual participants are typically hesitant to deliver sensitive information, whether in plaintext or ciphertext, preventing leakage of information during the computation procedure. This issue must also be solved through the suggested strategy. The metrics used to evaluate the proposed protocol include the communication overhead and computation latency in the oblivious transfer process.

Industrial 4.0 incorporates the Internet, cloud computing, big data, IIoT, and other advanced technologies. Industry 4.0 relies on information physical network, connecting the physical devices to internet. When hundreds of IoT devices connect, IIoT systems are needed to safeguard important applications and prevent unwanted access to data and functionalities. Therefore, this paper highlights the importance of devices connecting to the internet to enhance productivity (Zhang et al., 2024). However, IoT systems that rely on many devices connecting suffer from security and performance challenges in distributed scenarios. The question evolves to how we implement a certification system that has low costs for large-scaling IOT systems. Enter the proposed solution of a Three-Layer System that is based on Blockchain with Fast Consensus Verification Based on VRF (Verifiable Random Function). The benefit of VRF is it improves scalability by randomly selecting block generators to form a consensus of new devices joining the network among smaller committee of devices which reduces computational burden nodes. Nevertheless, the weaknesses of the solution are that it relies on the trustworthiness of the voting committee and randomness of VRF that can be manipulated alongside its complex implementation of the mechanism that may increase maintenance overhead. The evaluation metrics used are Blockchain TPS

(Transactions Packed in the Block Per Second) and communication cost, fault tolerance, and security performance.

Several other researchers have also contributed in end-to-end security mechanism even with the assistance of the counter partners i.e. universities or industries for broaden implications. This research article can act as the guidelines for future young researchers in end-to-end security measures in 6th generation networks. This improved work, elaborated in Proposed Solution, for the given problem statement is adopted from (Mishra et al., 2023; Khan et al., 2023; Khan et al., 2017), which act as a benchmark for this research article.

3 Proposed Solution

Our proposed solution addresses the problem statements outlined in section II and enhances the authentication mechanism discussed in Khan et al. (2023) by implementing Quantum-Elliptic Curve Cryptography (QECC) in conjunction with a blockchain-regulated, verifiable, and automatic key refreshment mechanism. While Khan et al. (2023) proposed an ECC-based Diffie-Hellman (ECDH) solution, our approach builds upon this by incorporating quantum cryptography, thereby adding an additional layer of security. Recognizing the critical role of key refreshment in key management, we introduce a secure, blockchain-regulated method for automatic key refreshment, ensuring robust and reliable key management in IoT systems. This combination of QECC and blockchain technology provides a comprehensive and resilient framework for enhancing the security of IIoT communications.

Transmission of messages across IIoT networks are highly susceptible to security breaches, especially in the context of insecure multi-hop relay communications. The ECDH-based authentication protocol addresses these breaches, but sole reliance on ECC cryptography leaves it vulnerable to Man-in-the-Middle (MITM) attacks. To address these vulnerabilities, our solution integrates Quantum Cryptography (QC) with ECC, leveraging the strengths of both. QC can mitigate MITM attacks by detecting eavesdroppers due to quantum mechanics of the quantum channels. While ECC encrypts the plaintext message to be transmitted with encryption algorithm (Khan et al., 2017).

In QECC, QC is employed between Base Stations (BS) and Relay Stations (RS), while ECC is used between BS and Subscriber Stations (SS) or RS and SS. QC generates a shared secret key between BS and RS. To ensure data integrity, ECC uses this shared key in conjunction with a private key to perform several functions: Key Agreement (KA), Key Derivation Function (KDF), Encryption (ENC), Message Authentication Code (MAC), and Hash (HASH). KA generates a shared secret using the private key derived from QC and the SS's public key. KDF processes this shared secret to produce encryption and MAC keys, while the MAC function generates a tag to ensure message authenticity. The cryptogram includes the public key, encrypted message, and tag. The SS calculates the shared secret from its private key and the received public key from the cryptogram, deriving the encryption and MAC keys, and verifies the tag against a newly computed one. If the tags match, the encryption key decrypts the ciphertext to recover the original plaintext message (Khan et al., 2017).

By employing QECC, data and messages transmitted through IIoT relay communications are securely encrypted. Given the crucial role keys play in securing these transmissions, an additional mechanism must be implemented to manage them effectively. Although the current Privacy Key Management (PKM) protocol is proposed to uphold security in MMR networks, it remains vulnerable to several Medium Access Control (MAC) layer attacks, as well as spoofing attacks where eavesdropper disguises themselves within the network. Therefore, to further bolster this security, we propose the integration of a blockchain-regulated, verifiable, and automatic key refreshment mechanism adopted from (Mishra et al., 2023). This protocol manages cryptographic keys with enhanced robustness, addressing vulnerabilities in the current PKM protocols.

The key refreshment mechanism involves a blockchain-regulated process to ensure security keys used in IoT devices are regularly updated, maintaining the integrity and trustworthiness of the IoT system. This mechanism leverages blockchain technology and smart contracts to enforce and verify key updates automatically and transparently. The process begins with the initialization and registration of devices on the blockchain, followed by the network server communicating with devices to perform key updates, logged, and verified on the blockchain. This ensures all parties can trust the system as key refreshment rules are immutably recorded and updates are verifiable by users. Designed to be lightweight for IoT devices, the mechanism has been analyzed for cost, scalability, and security, demonstrating its economic viability and robust security. It offers advantages such as protection against potential security leaks and public verifiability, contributing to a more secure and trustworthy IoT environment.

Our proposed solution's system architecture shown in Figure 2. describes a blockchain network integrated with a network of IoT communicative devices and automatic key refreshment mechanism. The blockchain-regulated IoT devices and key refreshment mechanism work in tandem together with QECC to mitigate any potential security breaches. By comprehensively addressing both authentication and key management, the proposed solution provides a robust framework for secure and efficient data transmission in IIoT environments. Further detailed results and analysis on authentication and key management mechanisms will be provided in Implementation and Discussion, demonstrating the efficacy and resilience of the enhanced protocol (Mishra et al., 2023).

Figure 2: Framework of proposed solution by integrating Quantum Cryptography (QC) and Automated Key Refreshment leveraging blockchain

4 Implementation and Discussion

As mentioned previously in the proposed solution section, the implementation of the blockchain-based lightweight multifactor authentication framework adapted from Cell-Free in Ultra-Dense 6G-Based (6-CMAS) Cellular Networks) in conjunction with a blockchain-regulated, verifiable, and automatic key refreshment mechanism that incorporates QECC seeks to improve the security of the overall framework. As such, it aligns in solving the problem statements of enhancing IIOT systems and addressing the challenges of single-factor authentication and limited adaptability in IoT applications.

To further prove the effectiveness and efficacy of the proposed solution framework, the current section will delve deeper into the details of QECC and the blockchain-based lightweight multifactor authentication framework adapted from Cell-Free in Ultra-Dense 6G-Based (6-CMAS) Cellular Networks and their comparisons to other systems that share similar components.

4.1 Quantum Cryptography

The "Q" in QECC is the Quantum part of the cryptography system. The proposal of QECC effectively allows for a SS or in this case, a customer device to detect the presence of an eavesdropper with a relatively shorter key and retaining the same level of security in Rivest-Shamir-Adleman (RSA) Cryptography. The magic behind it is the Quantum Key Distribution (QKD) with BB84 protocol of deriving a complex key instead of a complex process of encryption and decryption. QKD employs two types of channels (Khan et al., 2017):

- Public channel
	- o Communication of handshaking protocols between stations.
	- o Transmission of encrypted messages
- Quantum channel
	- o Specializes in the transmission of shared key in polarized bits (Qubits).

Figure 3: Implementation scenario of Quantum Channel and Public Channel between SS to RS to BS courtesy of [17]

The QKD transmitter is basically implied to be a modified version of a fiber optic cable that is capable of polarizing and preserving the quantum states of its photons. Therefore, enabling the following steps to be done to secure the transmitting Quantum Channel, summarized into 4 clusters of actions:

- Performing QKD from BS to RS
- Measuring Photons from BS
- Relaying the upcoming message
- Measuring the Photons from RS.

Steps for Performing QKD from BS to RS:

- i. Bit Generation: BS generates a random string of bits, $\alpha = [0 1 1 0 1 0 0 1]$.
- ii. Bit Polarization: The QKD transmitter at BS polarizes these bits into qubits using either Rectilinear or Diagonal Basis, resulting in $\beta = [+ + \times + \times \times +]$.
- iii. Polarized Qubits: This produces polarized qubits, $p = \left[\left| -\right| \right] \left| / \right|$.
- iv. Transmission: The qubits p is transmitted through the quantum channel to RS1.

Figure 4: Polarizing Filters courtesy of Khan et al. (2017)

Steps for RS to Measure Photons from BS:

- v. Random Basis Generation: RS1 generates a random basis, $\beta' = [+ \times \times \times + \times +]$, to measure incoming photons.
- vi. Photon Measurement: The qubits p pass through β', resulting in new polarized qubits, $p' = \frac{1}{\sqrt{7}}$, and corresponding bits $\alpha' = [0\ 0\ 1\ 0\ 1\ 0\ 1\ 1]$.
- vii. Basis Comparison: RS1 sends β' to BS via a public channel. BS compares β with β' and obtains the matching result $\mathbf{r} = [\sqrt{\times} \sqrt{\times} \times \sqrt{\times} \sqrt{1}]$.
- viii. Sifted Key Generation: BS sends r back to RS1, which uses r to distill α' by retaining only matching bits, producing the sifted key $s = [0 \ 1 \ 0 \ 1].$
- ix. Shared Secret Key: BS and RS1 agree on the shared secret key $s = [0 1 0 1]$ for encryption and decryption.
- x. Encryption and Decryption: BS uses s to encrypt the plaintext into ciphertext and sends it to RS1, which then decrypts it back into plaintext.

Steps for Relaying Messages from RS to SS using QKD:

- xi. Bit Generation at RS1: RS1 generates a new random string of bits and polarizes them, similar to steps (1) to (3).
- xii. Transmission to RS2: The polarized qubits are transmitted through the quantum channel to RS2.

Steps for SS to Measure Photons from RS:

xiii. Measurement at RS2: RS2 follows the same steps as RS1 did in steps (5) to (10) to measure the photons and establish a shared secret key for secure communication.

4.2 QKD and the Five Pillars of Information Assurance

QKD, like any other security framework is also compliant with the five pillars of information assurance such as confidentiality, integrity, availability, authenticity, and non-repudiation. Each pillar plays a crucial role in maintaining a robust and secure communication system.

To ensure confidentiality, QKD employs a technique where each secret key is used only once, following the One Time Pad (OTP) rule, which is theoretically unbreakable when used correctly. Any eavesdropping activity alters the quantum state of the qubits, making it detectable. If an eavesdropper is detected, the key is discarded before any confidential information is transmitted, ensuring that no sensitive data is compromised.

While QKD ensures the secure distribution of the cryptographic key, it does not inherently guarantee data integrity. To ensure data integrity, encryption algorithms such as ECC (Elliptic Curve Cryptography) are used in conjunction with the QKD-generated secret key. This combination helps maintain the integrity of the transmitted data.

Early detection of eavesdroppers allows BS and RS to discard compromised keys before using them, ensuring the information remains secure and available. This preemptive action ensures the data transmission channel is not intercepted by an unauthorized party. If a key is compromised, BS and RS can generate another secret key using QKD, ensuring the continuous availability of secure communication.

To prevent Man-in-the-Middle (MITM) attacks, counter-based authentication methods are employed. This method enhances the security and efficiency of QKD by ensuring that both parties involved are legitimate. Furthermore, Future improvements with quantum repeaters aim to relay quantum keys without measurement, reducing vulnerability and enhancing authenticity in communication.

A public key signature is used to authenticate the QKD session, ensuring that neither party can deny their participation in the communication. Both stations verify each other's digital signatures, providing proof of the origin and integrity of the transmitted messages. This process ensures non-repudiation, whereby both parties are accountable for their actions within the communication session, further solidifying the trustworthiness of the system.

In summary, QKD's alignment with the five pillars of information assurance makes it a robust framework for secure communication. By ensuring confidentiality, data integrity, availability, authenticity, and non-repudiation, QKD addresses the critical aspects of modern cybersecurity needs. Proactive measures and advanced techniques, such as early eavesdropper detection and counter-based authentication, ensure that communication remains secure and trustworthy, paving the way for future advancements in quantum cryptography.

4.3 Comparative Analysis of QKD vs conventional RSA

Table 1: Comparison Analysis between Quantum Cryptography and Rivest-Shamir-Adleman Cryptography

4.4 Securing Quantum Framework with ECC

Figure 5: State diagram of ECC and Quantum Cryptography Integration

In the context of securing the Quantum Framework, Elliptic Curve Cryptography (ECC) offers robust encryption mechanisms. The encryption process starts with the Base Station (BS) generating an ephemeral key pair (u,U), where U is the public key and u is the private key. The plaintext P is prepared for encryption. Using a Key Agreement (KA) function, the BS creates a shared secret value $Q \times U$, where Q is derived from Quantum Key Distribution (QKD) and Vis the public key of the Subscriber Station (SS). This shared secret value is then input into a Key Derivation Function (KDF) to generate a symmetric encryption key kEand a Message Authentication Code (MAC) key kM. If the KDF output is invalid, the process halts. The symmetric encryption key kE encrypts the plaintext P to produce ciphertext C, and the MAC function generates a tag t using C and kM . The BS then sends a cryptogram (U,C,t) to the SS.

For decryption, the SS retrieves the cryptogram and uses its private key v and the ephemeral public key U to produce the shared secret value v×U. This shared secret, along with the same parameters used by the BS, allows the SS to derive the same encryption and MAC keys using the KDF procedure. The SS then compares the received tag t with the computed tag. If the tags do not match, the cryptogram is rejected. If they match, the SS decrypts C using the symmetric key to obtain the plaintext P.

ECC ensures several security features in 5G networks. Confidentiality is maintained by encrypting messages such that only the intended recipient can decrypt them, effectively tackling spoofing and sniffing attacks. Integrity is protected using HASH functions, which produce unique identifiers for data, allowing the detection of any alterations. Availability is ensured by maintaining resource access for legitimate users and detecting attacks like Denial of Service (DoS). Non-repudiation is achieved using digital signatures and certificate verification, preventing both the sender and receiver from denying the message transmission.

The integration of QKD with ECC enhances security by securely distributing the private key Q, which is used in the ECC process for encryption and decryption.

4.5 Automatic Key Refreshment Mechanism

An automatic key refreshment mechanism is implemented in QECC to further eliminate its vulnerability against MITM attacks. The proposed automatic key refreshment mechanism follows the Key Updating Scheme (KUS) (Mishra et al., 2023) where there are two types of triggers to refresh the keys in the QECC, namely the eventbased and time-based triggers.

The event-based trigger initiates the key refresh process every time a suspicious behavior is detected. In this context, QECC already has a built-in event-based key refreshment mechanism where an early detection of eavesdroppers is the event-based trigger that initiates the aforementioned key refreshment mechanism by discarding the compromised key and regenerating a new secret key using QKD, essentially refreshing the key.

However, there is still a chance that the eavesdropper may not be detected in time to trigger event-based key refreshment, thereby compromising the security measures of QECC. This is where the proposed time-based key refreshment mechanism comes into place.

The time-based trigger initiates the key refreshment process after a certain time or when a counter reaches a certain amount or after a random predetermined duration. In this case, an additional time-based key refreshment mechanism is proposed to act as a fail-safe and an extra layer of protection against any impersonation attacks to QECC if the event-based key refreshment fails.

4.6 Key Refreshment Mechanism Process in Blockchain Environment

The time-based key refreshment mechanism in a blockchain environment starts off with the network operator sending a message,

$$
M = \{ID_i, H_i^n, TS_n, \Delta TS\}
$$
 (1)

to the blockchain. This message is cryptographically signed by the network operator using a method like the Schnorr Signature Scheme to ensure authenticity and integrity. Here, TS_n represents the registration time of the device, and ∆TS indicates the maximum duration allowed in between two key refreshes. Additionally, a smart contract is created where the network operator is reminded to refresh the key at an interval of $\Delta TS - \epsilon$, with ϵ representing the duration required for the key refreshment process with the device. Warning messages are sent to subscribed application servers if the network operator fails to submit the preceding hash chain value of the device to the blockchain. The successful update of the device is also recorded in the blockchain.

4.7 Formal Analysis on the Security of Blockchain-Based Key Agreement Update Protocol

The RUBIN logic, which is a non-monotonic logic-based approach for verification, is utilized to formally validate the security aspects of the blockchain-based key refreshment protocol in terms of confidentiality, integrity, and mutual authentication. The analysis is conducted by tracking the evolution of global and local sets, as well as actions involved.

The global setting, which is publicly accessible, comprises four distinct sets containing protocol-related information. Firstly, participants within the protocol are identified by the principal set $P = \{D, NS, BS\}$. Secondly, inference rules are encompassed in the rule set to derive new statements. Thirdly, the secret set S denotes an instance of secrets at a specific point in time, initially defined as follows:

$$
S = \{S_s^1, S_s^2, S_{s+1}^2, (H_i^0, \dots, H_i^{s-1}, H_i^s)\}\
$$
 (2)

Finally, participants who are aware of the secrets within S are included in observer sets. These observer sets are categorized as follows:

- Obs $(H_i^0, \ldots, H_i^{s-1}) = \{D\}$
- Obs(H_i^s) = {D, NS, BS}
- Obs(S_s^1 ,S_s^2 ,S_(s+1)^2) = {D, NS}

The local sets differ for each participant and include a possession set, belief set, and behaviour list. All known secrets for the participant P are contained within the possession set *Poss(*P). The belief set *Bel*(P) comprises of all beliefs within P regarding aspects such as key freshness and secrets possessed by other participants. Actions performed by the participants is defined in the behaviour list *BL*.

According to the evaluation conducted in Mishra et al. (2023), the following conclusions regarding confidentiality, integrity, and mutual authentication can be drawn:

- The data S $(s-1)$ ^{\land} l, S $(s-1)$ ^{\land} \land , S s^{\land} 2 utilized for generating new keys for the following session remains fresh and is solely accessible to the authorized entities NS and D.
- The key material utilized in the ongoing session is fresh, resulting in the generation of key material K, exclusively known to the NS and D of the legitimate participants
- The possession of H_i^(s-1) by D and H_i^s by NS and BS ensures the unique authentication of D due to the robustness of the hash function.

5 Conclusions

In conclusion, our proposed authentication mechanism for Industrial Internet of Things (IIoT) systems integrates Quantum-Elliptic Curve Cryptography (QECC) with a blockchain-regulated, automatic key refreshment mechanism to address the pressing security concerns inherent in IIoT communications. Review of existing works are reviewed, in which inspired and informs our approach for the proposed solution. By building upon the ECCbased Diffie-Hellman (ECDH) protocol, our solution mitigates vulnerabilities such as Man-in-the-Middle (MITM) attacks through the innovative combination of quantum cryptography and ECC. Additionally, to bolster security against spoofing attacks, we incorporate a time-driven trigger mechanism for automatic key refreshment within our blockchain network. Through analysis of our proposed mechanism, security requirements such as confidentiality, integrity, availability, and non-repudiation is fulfilled.

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